

# ON THE MINIMUM NUMBER OF INVERSIONS TO MAKE A DIGRAPH $k$ -(ARC-)STRONG.

(EXTENDED ABSTRACT)

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## Abstract

The *inversion* of a set  $X$  of vertices in a digraph  $D$  consists of reversing the direction of all arcs of  $D[X]$ . We study  $\text{sinv}'_k(D)$  (resp.  $\text{sinv}_k(D)$ ) which is the minimum number of inversions needed to transform  $D$  into a  $k$ -arc-strong (resp.  $k$ -strong) digraph and  $\text{sinv}'_k(n) = \max\{\text{sinv}'_k(D) \mid D \text{ is a } 2k\text{-edge-connected digraph of order } n\}$ . We show : (i)  $\frac{1}{2} \log(n - k + 1) \leq \text{sinv}'_k(n) \leq \log n + 4k - 3$  for all  $n \in \mathbb{Z}_{\geq 0}$ ; (ii) for any fixed positive integers  $k$  and  $t$ , deciding whether a given oriented graph  $\vec{G}$  satisfies  $\text{sinv}'_k(\vec{G}) \leq t$  (resp.  $\text{sinv}_k(\vec{G}) \leq t$ ) is NP-complete ; (iii) if  $T$  is a tournament of order at least  $2k + 1$ , then  $\text{sinv}'_k(T) \leq \text{sinv}_k(T) \leq 2k$ , and  $\frac{1}{2} \log(2k + 1) \leq \text{sinv}'_k(T) \leq \text{sinv}_k(T)$  for some  $T$ ; (iv) if  $T$  is a tournament of order at least  $28k - 5$  (resp.  $14k - 3$ ), then  $\text{sinv}_k(T) \leq 1$  (resp.  $\text{sinv}_k(T) \leq 6$ ); (v) for every  $\epsilon > 0$ , there exists  $C$  such that  $\text{sinv}_k(T) \leq C$  for every tournament  $T$  on at least  $2k + 1 + \epsilon k$  vertices.

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## 1 Introduction

Notation not given below is consistent with [7]. In particular, a digraph may contain digons but no loops or parallel arcs and an oriented graph is a digraph without digons. We denote by  $[k]$  the set  $\{1, 2, \dots, k\}$ .

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A **feedback arc set** in a digraph is a set of arcs whose reversal results in an acyclic digraph. Finding a minimum cardinality feedback arc set is one of the first problems shown to be NP-hard listed by Karp in [18]. Furthermore, it is hard to approximate [17, 12]. For tournaments, the problem remains NP-complete [2, 11], but there is a 3-approximation algorithm [1] and a polynomial-time approximation scheme [19].

To make a digraph  $D$  acyclic, one can use a different operation from arc reversal, called inversion. The **inversion** of a set  $X$  of vertices consists in reversing the direction of all arcs of  $D\langle X \rangle$ , the subdigraph induced by  $X$ . We say that we **invert**  $X$  in  $D$ . The resulting digraph is denoted by  $\text{Inv}(D; X)$ . If  $(X_i)_{i \in I}$  is a family of subsets of  $V(D)$ , then  $\text{Inv}(D; (X_i)_{i \in I})$  is the digraph obtained after inverting the  $X_i$  one after another. Observe that this is independent of the order in which we invert the  $X_i$ :  $\text{Inv}(D; (X_i)_{i \in I})$  is obtained from  $D$  by reversing the arcs such that an odd number of the  $X_i$  contain its two end-vertices. The **inversion number** of an oriented graph  $D$ , denoted by  $\text{inv}(D)$ , is the minimum number of inversions needed to transform  $D$  into an acyclic oriented graph. It was first introduced by Belkhechine et al. in [10] and then studied in several papers [6, 23, 4, 3].

The main purpose of this article is to study the possibilities of applying the inversion operation to obtain a different objective than the obtained digraph being acyclic. Instead of making a digraph acyclic, we are interested in making it satisfy a prescribed connectivity property. A digraph  $D$  is **strongly connected** or simply **strong** (resp.  **$k$ -arc-strong**) for some positive integer  $k$ , if for any partition  $(V_1, V_2)$  of  $V(D)$  with  $V_1, V_2 \neq \emptyset$  there is an arc (resp. at least  $k$  arcs) with tail in  $V_1$  and head in  $V_2$ . For a given digraph  $D$ , we denote by  $\text{UG}(D)$  the undirected (multi)graph that we obtain by suppressing the orientations of the arcs. A digraph is  **$k$ -connected** (resp.  **$k$ -edge-connected**) if its underlying (multi)graph is. Clearly, a digraph  $D$  can be made  $k$ -arc-strong by reversing some arcs if and only if the edges of  $\text{UG}(D)$  can be oriented such that the resulting digraph is  $k$ -arc-strong. Robbins' Theorem [22] asserts that a graph admits a strong orientation if and only if it is 2-edge-connected, and more generally, Nash–Williams' orientation theorem [21], asserts that a graph admits a  $k$ -arc-strong orientation if and only if it is  $2k$ -edge-connected. It is well known that, by reducing to a minimum-cost submodular flow problem, one can determine, in polynomial time, a minimum set of arcs in  $D$  whose reversal gives a  $k$ -arc-strong digraph or detect that such a set does not exist, see Section 8.8.4 of [7] for details. The digraphs that contain a linear number of vertices with no outgoing arc show that the number of necessary arc reversals to make a  $2k$ -edge-connected digraph  $D$   $k$ -arc-strong cannot be bounded by a function depending only on  $k$ . However this is the case for **tournaments**, which are the orientations of complete graphs: Bang-Jensen and Yeo [5] proved that every tournament on at least  $2k + 1$  vertices can be made  $k$ -arc-strong by reversing at most  $\frac{1}{2}k(k - 1)$  arcs. This result is tight for transitive tournaments.

We are interested in the problem of using inversions to make a digraph  $k$ -arc-strong. The  **$k$ -arc-strong inversion number** of a digraph  $D$ , denoted by  $\text{sinv}'_k(D)$ , is the minimum number of inversions needed to transform  $D$  into a  $k$ -arc-strong digraph. We study  $\text{sinv}'_k(n) = \max\{\text{sinv}'_k(D) \mid D \text{ } 2k\text{-edge-}k\text{-connected digraph of order } n\}$ . For all  $n \in \mathbb{Z}_{\geq 0}$ , we

show

$$\frac{1}{2} \log(n - k + 1) \leq \text{sinv}'_k(n) \leq \log n + 4k - 3.$$

To establish the upper bound, it is enough to consider minimally  $k$ -edge-connected digraphs which are  $k$ -edge-connected digraphs  $D$  such that  $D \setminus uv$  is not  $k$ -edge connected for any arc  $uv$  of  $D$ . We show that such a digraph  $D$  is  $d$ -degenerate for  $d = 2k - 1$ , that is, every subdigraph of  $D$  has a vertex of degree at most  $d$ . Now a result of a forthcoming paper [16] by a group containing the authors asserts that any orientation  $\vec{G}_1$  of an  $n$ -vertex  $d$ -degenerate graph  $G$  can be transformed into any other orientation  $\vec{G}_2$  of  $G$  by inverting at most  $\log n + 2d - 1$  sets. Together with a slight strengthening of Nash-Williams' Theorem, we deduce that  $\text{sinv}'_k(D) \leq \log n + 2(2k - 1) - 1$ .

Then, we prove that, for any fixed positive integers  $k$  and  $t$ , deciding whether a given oriented graph  $\vec{G}$  satisfies  $\text{sinv}'_k(\vec{G}) \leq t$  is NP-complete. The case  $t = 1$  is proved using a reduction from MONOTONE EQUITABLE  $k$ -SAT. An instance of this problem consists of a set of variables  $X$  and a set of clauses  $\mathcal{C}$  each of which contains exactly  $2k + 1$  nonnegated variables and the question is whether there is a truth assignment  $\phi : X \rightarrow \{\text{true}, \text{false}\}$  such that every clause in  $\mathcal{C}$  contains at least  $k$  true and  $k$  false variables with respect to  $\phi$ . The case  $t \geq 2$  is proved using a reduction from  $k$ -CUT-COVERING. Given a graph  $G$ , this problem consists in deciding whether there is a collection  $F_1, \dots, F_t$  of cuts such that  $\bigcup_{i=1}^t F_i = E(G)$ . We also show that, unless  $P=NP$ ,  $\text{sinv}'_k$  cannot be approximated within a factor better than 2.

One may also want to make a digraph  $k$ -strong. A digraph  $D$  is  **$k$ -strong** if  $|V(D)| \geq k + 1$  and for any set  $S \subseteq V(D)$  with less than  $k$  vertices  $D - S$  is strong. A digraph which can be made  $k$ -strong by reversing arcs is  **$k$ -strengthenable**. The 1-strengthenable digraphs are the 2-edge-connected ones, because being 1-strong is equivalent to being strong or 1-arc-strong. Thomassen [24] proved that the 2-strengthenable digraphs are the 4-edge-connected digraphs  $D$  such that  $D - v$  is 2-edge-connected for every vertex  $v \in V(D)$ , but it is NP-hard to compute the minimum number of arc reversals needed to make a given digraph 2-strong [8]. Furthermore, in contrast to the analogous problem for  $k$ -arc-strengthenable digraphs, for  $k \geq 3$ , it is NP-complete to decide whether a digraph is  $k$ -strengthenable. Indeed, for any  $k \geq 3$ , it is NP-complete to decide whether an undirected graph has a  $k$ -strong orientation [13].

It is also natural to use inversions to make a digraph  $k$ -strong. A  **$k$ -strengthening family** of a digraph  $D$  is a family of subsets  $(X_i)_{i \in I}$  of subsets of  $V(D)$  such that  $\text{Inv}(D; (X_i)_{i \in I})$  is  $k$ -strong. The  **$k$ -strong inversion number** of a  $k$ -strengthenable digraph  $D$ , denoted by  $\text{sinv}_k(D)$ , is the minimum number of inversions needed to transform  $D$  into a  $k$ -strong digraph. We show that for any positive integers  $k$  and  $t$ , it is NP-complete to decide whether  $\text{sinv}_k(D) \leq t$  for a given  $k$ -strengthenable oriented graph. We also show that, unless  $P=NP$ ,  $\text{sinv}_k$  cannot be approximated within a factor better than 2. The proofs are similar to the ones for  $\text{sinv}'_k$ .

It is not hard to show that every tournament of order at least  $2k + 1$  is  $k$ -strengthenable and that it can be made  $k$ -strong by reversing the orientation of at most  $\frac{1}{4}(4k - 2)(4k -$

3) arcs, see e.g. [7, p. 379]. In 1994, Bang-Jensen conjectured that every tournament on at least  $2k + 1$  vertices can be made  $k$ -strong by reversing at most  $\frac{1}{2}k(k + 1)$  arcs. Bang-Jensen, Johansen, and Yeo [9] proved this conjecture for tournaments of order at least  $3k - 1$ . It is then natural to ask whether or not we can make a tournament  $k$ -strong or  $k$ -arc-strong in a lot less than  $\frac{1}{2}k(k + 1)$  inversions. This leads to consider  $M_k = \max\{\text{sinv}_k(T) \mid T \text{ tournament of order at least } 2k + 1\}$  and  $M'_k = \max\{\text{sinv}'_k(T) \mid T \text{ tournament of order at least } 2k + 1\}$ . We show that (for sufficiently large  $k$ ), we have

$$\frac{1}{2} \log(2k + 1) \leq M'_k \leq M_k \leq 2k.$$

The lower bound is obtained for a tournament of order  $2k + 1$  by using McKay's result [20] on the number of Eulerian tournaments of order  $2k + 1$ , the fact that every  $k$ -arc-strong tournament of order  $2k + 1$  is Eulerian and counting arguments. Let us now prove the upper bound.

Let  $D$  be a digraph and  $u, v$  two distinct vertices in  $D$ . The **strong-connectivity** from  $u$  to  $v$  in  $D$ , denoted by  $\kappa_D(u, v)$ , is the maximal number  $\alpha$  such that  $D - X$  contains a  $(u, v)$ -path for every  $X \subseteq V(D) \setminus \{u, v\}$  with  $|X| \leq \alpha - 1$ . For some  $S \subseteq V(D)$  and positive integer  $k$ , we say that  $S$  is  **$k$ -strong in  $D$**  if  $\kappa_D(u, v) \geq k$  for all  $u, v \in S$ . The following statement is well-known.

**Lemma 1.1.** *Let  $D$  be a digraph,  $S$  a  $k$ -strong set in  $D$  and  $v \in V(D) \setminus S$ . If  $v$  has  $k$  in-neighbours in  $S$  and  $k$  out-neighbours in  $S$ , then  $S \cup \{v\}$  is  $k$ -strong in  $D$ .*

**Theorem 1.2.**  $M_k \leq 2k$ .

*Proof.* Let  $D$  be a tournament with  $V(D) = \{v_1, \dots, v_n\}$  with  $n \geq 2k + 1$ . Further, let  $T$  be a  $k$ -strong tournament on  $\{v_1, \dots, v_{2k+1}\}$ . We now define sets  $X_1, \dots, X_{2k}$ . Suppose that the sets  $X_1, \dots, X_{i-1}$  have already been created and let  $D_{i-1}$  be the graph obtained from  $D$  by inverting  $X_1, \dots, X_{i-1}$ . Now let  $X_i = \{v_i\} \cup A_i \cup B_i$ , where  $A_i$  is the set of vertices  $v_j$  with  $j \in \{i + 1, \dots, 2k + 1\}$  for which the edge  $v_i v_j$  has a different orientation in  $T$  and  $D_{i-1}$ , and  $B_i$  is, when  $i \leq k$  (resp.  $i \geq k + 1$ ), the set of vertices  $v_j$  with  $j \geq 2k + 2$  for which  $D_{i-1}$  contains the arc  $v_i v_j$  (resp.  $v_j v_i$ ).

Observe that  $D_{2k} \langle \{v_1, \dots, v_{2k+1}\} \rangle = T$  which is  $k$ -strong by assumption. Moreover, for any  $j \geq 2k + 2$ ,  $D_{2k}$  contains the arcs  $v_j v_i$  for  $i \in [k]$  and the arcs  $v_i v_j$  for  $i = k + 1, \dots, 2k$ . Hence, by Lemma 1.1,  $D_{2k}$  is  $k$ -strong.  $\square$

We also prove that  $M_1 = M'_1 = 1$  and  $M_2 = M'_2 = 2$  showing that the bound  $M_k \leq 2k$  is not tight for  $k = 1, 2$ . We believe that it is also not tight for larger values of  $k$ .

It is not too difficult to prove that every sufficiently large tournament can be made  $k$ -strong in one inversion. Hence it is natural to investigate the minimum integer  $N_k(i)$  (resp.  $N'_k(i)$ ) such that  $\text{sinv}_k(T) \leq i$  (resp.  $\text{sinv}'_k(T) \leq i$ ) for every tournament  $T$  of order at least  $N_k(i)$ . We prove

$$5k - 2 \leq N_k(1) \leq 28k - 5 \text{ and } N_k(6) \leq 14k - 3.$$

The lower bound  $N_k(1) \geq 5k - 2$  is obtained by considering a tournament  $T$  of order  $5k - 3$  whose vertex set has a partition  $(A, B, C)$  such that  $T\langle A \rangle$  and  $T\langle C \rangle$  are  $(k - 1)$ -diregular tournaments of order  $2k - 1$ , and  $A \Rightarrow B \cup C$  and  $B \Rightarrow C$ , and proving  $\text{sinv}'_k(T) > 1$ .

The upper bounds  $N_k(1) \leq 28k - 5$  and  $N_k(6) \leq 14k - 3$  are obtained using median orders. A **median order** of  $D$  is an ordering  $(v_1, v_2, \dots, v_n)$  of the vertices of  $D$  with the maximum number of forward arcs, that arcs  $v_i v_j$  with  $j > i$ . Our proofs use the two well-known properties (M1) and (M2) in the next lemma (the feedback property in [15]), which allow to prove the third one (M3). We denote by  $R_D^+(v)$  (resp.  $R_D^-(v)$ ) the set of vertices which are **reachable** from vertex  $v$  (resp. from which  $v$  can be reached) in digraph  $D$ , that are the vertices  $w$  such that there is a directed  $(v, w)$ -path (resp.  $(w, v)$ -path) in  $D$ .

**Lemma 1.3.** *Let  $T$  be a tournament and  $(v_1, v_2, \dots, v_n)$  a median order of  $T$ . Then, for any two indices  $i, j$  with  $1 \leq i < j \leq n$ :*

(M1)  $(v_i, v_{i+1}, \dots, v_j)$  is a median order of the induced subtournament  $T\langle \{v_i, v_{i+1}, \dots, v_j\} \rangle$ .

(M2)  $v_i$  dominates at least half of the vertices  $v_{i+1}, v_{i+2}, \dots, v_j$ , and  $v_j$  is dominated by at least half of the vertices  $v_i, v_{i+1}, \dots, v_{j-1}$ . In particular, each vertex  $v_i$ ,  $1 \leq i < n$ , dominates its successor  $v_{i+1}$ .

(M3) For any  $X \subseteq V(T) \setminus \{v_i\}$ ,  $|R_{T-F}^+(v_i)| \geq n + 1 - i - 2|F|$ , and  $R_{T-F}^-(v_i) \geq i - 2|F|$ .

Let  $T$  be a tournament of order  $n \geq 28k - 5$  and let  $(v_1, \dots, v_n)$  be a median order of  $V(T)$ . Let  $A = \{v_{n-6k+1}, \dots, v_n\}$  and  $B = \{v_1, \dots, v_{6k}\}$ . Using Lemma 1.3, we show that there is a set  $X \subseteq A \cup B$  such that in the tournament  $T_0 = \text{Inv}(T\langle A \cup B \rangle, X)$ , for any  $Y \subseteq V(T_0)$  with  $|Y| \leq k - 1$ , there is a directed path from  $a$  to  $B \setminus Y$  in  $T_0 - Y$  for every  $a \in A \setminus Y$ , and there is a directed path from  $A \setminus Y$  to  $b$  in  $T_0 - Y$  for every  $b \in B \setminus Y$ . We then show that  $\text{Inv}(T, X)$  is  $k$ -strong. This proves  $N_k(1) \leq 28k - 5$ .

The fact that there exists a constant  $\alpha > 0$  such that every tournament on at least  $\alpha k$  vertices can be made  $k$ -strong by a single inversion raises the following question: for which  $\alpha > 2$ , every tournament on at least  $\alpha k$  vertices can be made  $k$ -strong by a constant number of inversion? We show that every  $\alpha > 2$  will do : there is a function  $f$  such that for every  $\epsilon > 0$  and  $k \in \mathbb{N}$ ,  $\text{sinv}_k(T) \leq f(\epsilon)$  for every tournament  $T$  on at least  $2k + 1 + \epsilon k$  vertices.

The proof is based on a probabilistic argument: we show that  $f(\epsilon)$  inversions drawn uniformly at random, under the constraint that they cover all the vertices, make such a tournament  $k$ -strong with high probability.

Finally, the fact that  $m_k(n) = 1$  for  $n$  sufficiently large (in comparison to  $k$ ) implies that the set  $\mathcal{F}_k$  of tournaments  $T$  such that  $\text{sinv}_k(T) > 1$  is finite. This implies that for fixed  $k$  computing  $\text{sinv}_k$  and  $\text{sinv}'_k$  can be done in polynomial time for tournaments.

The proofs of the results announced in this extended abstract can be found in the full version of the paper [14].

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